



Guidelines for the first call of grants

February 2023

1. CONTEXT

1.1. Background of BASE

Climate finance differs from development finance in that the main goal is either to reduce greenhouse gas emissions (mitigation) and/or prepare communities and ecosystems to cope with current and future impacts of climate change (adaptation).

There are numerous challenges that keep local communities from accessing climate finance. One of these challenges is the development of a **climate rationale** in financing proposals. According to the [World Meteorological Organization](#) the climate rationale ensures *“that the linkages between climate and climate impacts, climate action and societal benefits fully ground in the best available climate data and science”*.

When developing a climate rationale, the applicant usually needs access to scientific climate information and data that is not always available at the format and scale needed. This usually generates demand for other entities to generate the information, and ensuring a monitoring system with internationally agreed methodologies, indicators and formats to evidence climatic impacts. This process is often time and resource-consuming, which are limiting factors for local organizations.

However, developing this climate rationale serves an important purpose. This exercise grounds local needs to the actual and potential impacts of climate change towards the area or sector in which the project is aimed and enables applicants to look beyond immediate impacts and plan for future scenarios under a changing climate. Furthermore, it takes applicants to reflect on their contribution and role in the transition to low-carbon and resilient economies.

BASE (Building Approaches to fund local Solutions with climate Evidence) initiative was born from the conviction that a just climate transition depends on **sufficient financing reaching the local level using approaches that ensure climate rationale, simplicity, and speed**. BASE was designed as a task force to work collaboratively with partners and associated initiatives on a specific challenge: **promoting more and better access to climate finance for local communities, simplifying the ways to develop a climate rationale and discovering alternative ways to better understand the link between an identified problem, the proposed solution and climate change**.

Our strategy is built on three pillars: FUNDING, LEARNING, AND SCALE. We design and implement grant schemes that generate a proof of concept of how a climate rationale can be simplified. Grants are oriented towards organizations or initiatives with whom our partners already work, to ensure that funding complements ongoing efforts and supports trusting relationships. The implementation of these grants will allow us to learn and generate evidence on impact, which we will share with public and private financing institutions and governments in order to support changes in climate finance practices focused on local communities.

BASE is currently coordinated by Fundación Avina (BASE's Secretariat) and made up of a plenary of partners that includes Brainforest, Forest Trends, GFLAC, Hivos, Pawanka Fund, Reos Partners, and United Nations University - ViE, with generous support from Skoll Foundation. Moreover, it has three Associated Initiatives: Adaptation Research Alliance, Impulsouth and Voices for Just Climate Action.

Learn more about BASE [here](#)

1.2. Strategy behind BASE's calls of grants

BASE will employ a variety of grant schemes to fund locally-led climate solutions that will be designed to minimize the cost and time to obtain or prepare the relevant information to explain the climate rationality of the solutions that will be implemented.

The main hypothesis behind the calls that BASE will explore is: *"Climate rationale of proposals can be developed in a way that is simple, quick, useful and accessible for local communities"*. The specific approach of the first call of grants is described in the ANNEX.

2. PURPOSE OF THE FIRST CALL

This call will select up to 8 grantees for non-reimbursable financial support within the framework of BASE's Initiative, financed by Skoll Foundation.

Grants will be awarded to implement climate solutions related to tropical forest management, driven by local communities or organizations in the Global South.

Through the grant making process we also want to contribute to building capacity of applicants on climate rationale. Preparing the climate rationale of a project can be an empowering process, where applicants can share local knowledge in local language, while also learning /strengthening knowledge about scientific climate data. To that end, in the application stage, the focus will be given to understand existing risks and impacts (past experiences, vulnerability and underlying drivers and existing coping strategies) and the role of the proposed activities in a just climate transition towards limiting warming to close to 1.5°C. The applicant will therefore provide information to infer the climate rationale of the proposal.

Then, during the implementation stage, the GRANTEE will examine together with a RESEARCHER how the proposed intervention contributes to GHG reduction, and/or how existing risks can be exacerbated or altered by climate change based on latest climate projects from Intergovernmental Panel on Climate Change (IPCC) and other reliable sources (see article 4.6.). As a result, the GRANTEE and the RESEARCHER will come up with a refined version of the climate rationale.

The proposals must comply with the minimum requirements specified below:

- **Climate contribution:** offer information at the application stage that helps infer the link between an identified problem, the proposed solution and climate change; and, at the implementation stage, refine this link.
- **Community ownership:** the solutions must be developed with local organizations, communities, and other local actors who will participate in decision-making processes and resources will be allocated at the local level to build resilience to climate change. This fosters the legitimacy, ownership, and sustainability of the proposals and emphasizes local priorities.
- **Proponent:** the call is open to consortiums of 2 to 5 implementing organizations (excluding the public sector) whose missions are closely linked to the sustainable development of the territory.
- **Scope:** projects oriented to forest management, including conservation, restoration, ecosystem services valuation, sustainable use of tropical forests.
- **Location:** projects implemented in tropical forests in the Global South. Please use the following map as reference:

Image 1. Location of tropical forests¹



Source: Global Forest Watcher. Accessed on February 2nd, 2023, in <https://www.globalforestwatch.org/map/>

3. ELIGIBLE APPLICANTS

3.1. Relationship with BASE's partners

Application is by invitation. Understanding the relevance of building upon relationships of trust, each of BASE's partners will extend targeted invitations to potential applicants that could be interested in this specific call and may comply with the requirements. At least one of the implementing organizations of the consortium must work or have worked with any of BASE's partners.

3.2. Conditions for the consortium

The consortium must designate a lead organization. Each organization can be lead in one consortium but can apply as a partner in other consortiums.

¹ Angola, Argentina, Bangladesh, Belize, Benin, Bhutan, Bolivia, Brazil, Burundi, Cambodia, Cameroon, Central African Republic, China, Colombia, Costa Rica, Democratic Republic of the Congo, Ecuador, Ethiopia, Gabon, Gambia, Ghana, Guatemala, Guinea, Equatorial Guinea, Guinea-Bissau, Guyana, French Guiana, Honduras, India, Indonesia, Ivory Coast, Kenya, Laos, Liberia, Madagascar, Malawi, Malaysia, Mexico, Mozambique, Myanmar, Nepal, Nicaragua, Nigeria, Palau, Panama, Papua new guinea, Paraguay, Peru, Philippines, Republic of the Congo, Democratic Republic of the Congo, Rwanda, Senegal, Sierra Leone, Solomon Islands, South Sudan, Sri Lanka, South Africa, Suriname, Taiwan, Tanzania, Thailand, Timor-Leste, Togo, Uganda, Vanuatu, Venezuela, Vietnam, Zambia.

3.3. Conditions for all implementing organizations

This funding opportunity is open to any organizations (excluding the public sector) whose missions are closely linked to the sustainable development of the territory. Applications submitted by marginalized groups (such as Indigenous-led, women-led, or other orgs led by key rightsholder groups who often face barriers in accessing funding) are especially welcome.

3.4. Conditions and responsibilities for the lead organization

- Legal identity, with legal status able to sign on behalf of the organization.
- Bank account must be able to receive funds from foreign accounts.
- The lead organization must be based in a country in the Global South, ideally in the same country where the solution will be implemented.
- The lead organization is responsible for contracting, for the budgetary implementation of the project, as well as for verifying the correct overall implementation of activities and reviewing the project progress of its consortium partners over the entire project duration.

4. DETAILS OF THE GRANT

4.1. Areas of expected impacts

The grant will be used to implement climate solutions that help communities improve their living conditions, diversify livelihoods, secure a way of life compatible with a just climate transition, either by reducing vulnerability and increasing their resilience to the effects of climate change and/or reducing greenhouse gas emissions.

The proposed solution should include measures that aim to²:

- **Protect forest extent:** measures such as avoiding deforestation or increasing protected areas, contribute to the capacity of forest systems to respond to climate change (increasing resistance and resilience) or reduce carbon emissions.
- **Manage biodiversity:** planting diverse native species, encouraging new vegetation to sprout from underground root systems or from the seedbank and managing biodiversity can help rebuild the climate resilience and ensure that ecosystems are better able to adapt to changing climate conditions.
- **Manage ecosystem function:** recognizing indigenous peoples and local communities' tenure rights can maintain forests and secure the services they provide and promote the implementation of local strategies; fire prevention and management practices can reduce the risk of large, destructive wildfires.
- **Restore ecosystem services:** forest restoration has positive effect on structure and function of degraded ecosystems; contributes to mitigation through carbon removals; measures such as agroforestry reduces pressure on forests and reduces vulnerability to certain hazards.

² This list was inspired on the IPCC's Cross-Chapter Paper 7: Tropical Forests available in: Ometto, J.P., K. Kalaba, G.Z. Anshari, N. Chacón, A. Farrell, S.A. Halim, H. Neufeldt, and R. Sukumar, 2022: Cross-Chapter Paper 7: Tropical Forests. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2369–2410, doi:10.1017/9781009325844.024.

- **Social solutions addressing the needs of the most vulnerable members of the community:** strengthen capacities and create conditions for the empowerment of women, youth, and indigenous communities to implement adaptation and mitigation measures related to forest management that promote greater representation of marginalized groups, leading to a more diverse and representative decision-making process; improve sustainable access to water and energy and other measures that empower the most vulnerable communities to meet their basic needs in a changing climate.
- **Create business opportunities based on a sustainable use of the forest:** utilizing the resources of forests in a manner that conserves the environment and biodiversity, while also generating economic benefits. This can be achieved through activities such as eco-tourism, sustainable forestry, and non-timber forest product harvesting. By ensuring that forests are managed and used in a sustainable way, the natural resources they provide can continue to support local communities and economies for generations to come.
- **Reduce hazard (mitigation and adaptation actions that reduce hazards directly):** establishing monitoring and early warning systems can help detect and respond to the impacts of climate change.
- **Other solutions not listed above that can be based on local experiences.**

4.2. Activities not subject to funding

The GRANTEE shall not use any portion of the funds:

- To attempt to influence legislation other than applied to environmental issues, or to influence the outcome of any specific election for candidates for public office, or to carry on, directly or indirectly, a voter registration drive.
- To make a grant to any other organization unless the grant is restricted to furthering the purposes of the proposed project³.
- To undertake an activity for any purpose other than religious, charitable, scientific, literary, educational, or other related purposes, or encourage violations of law or public policy, to cause any private inurement or improper private benefit to occur.
- To finance nor provide any material support to any person on any United States government list of suspected terrorists⁴ or to any person having one or more directors or key personnel included on any such list.
- To conduct any activity in, or travel to or from, the United States of America.
- To purchase assets, including dwellings, cars, machinery or infrastructure, or to pay for trips, NOT directly related to the proposed activities.
- To reimburse or pay federal, state, or local government officials.
- To make finance stock market investments, short, medium or long term.

4.3. Duration of the grant

The maximum duration of funding is 12 months. A minimum duration is not specified.

³ If that is the case, the subgrant must have a written grant agreement that includes terms at least as restrictive as those imposed to the main grant, that may not impair GRANTEE's ability to comply with its obligations, as will be determined in the future signed contract.

⁴ Such as the list of Specially Designated Nationals maintained by the U.S. Treasury Department's Office of Foreign Assets Control)

4.4. Amount of the grant

- The total value of the grant is 40,000.00 USD [forty thousand US dollars]. Suggested overhead up to 20%.
- This total value will be supplemented by an amount equivalent to USD 10,000 [ten thousand US dollars] for the hiring of a RESEARCHER for the full period of the implementation of the grant. BASE'S SECRETARIAT will provide support to cover these fees.⁵.
- Rates for any hired consultant must be competitive per country and/or region.

4.5. What is expected by the end of the implementing period from the GRANTEE?

After the end of the implementation period, GRANTEES should:

- Have implemented activities accorded with BASE'S SECRETARIAT at the start of implementation and executed resources according to the budget. Discuss with BASE'S SECRETARIAT any adjustments throughout the way vis-a-vis maximum impact.
- Developed a refined climate rationale of the project in close coordination with the RESEARCHER.
- Completed the narrative and financial templates required by AVINA AMERICAS at the end of the implementation.
- Participated in workshops organized by BASE'S SECRETARIAT according to interest.

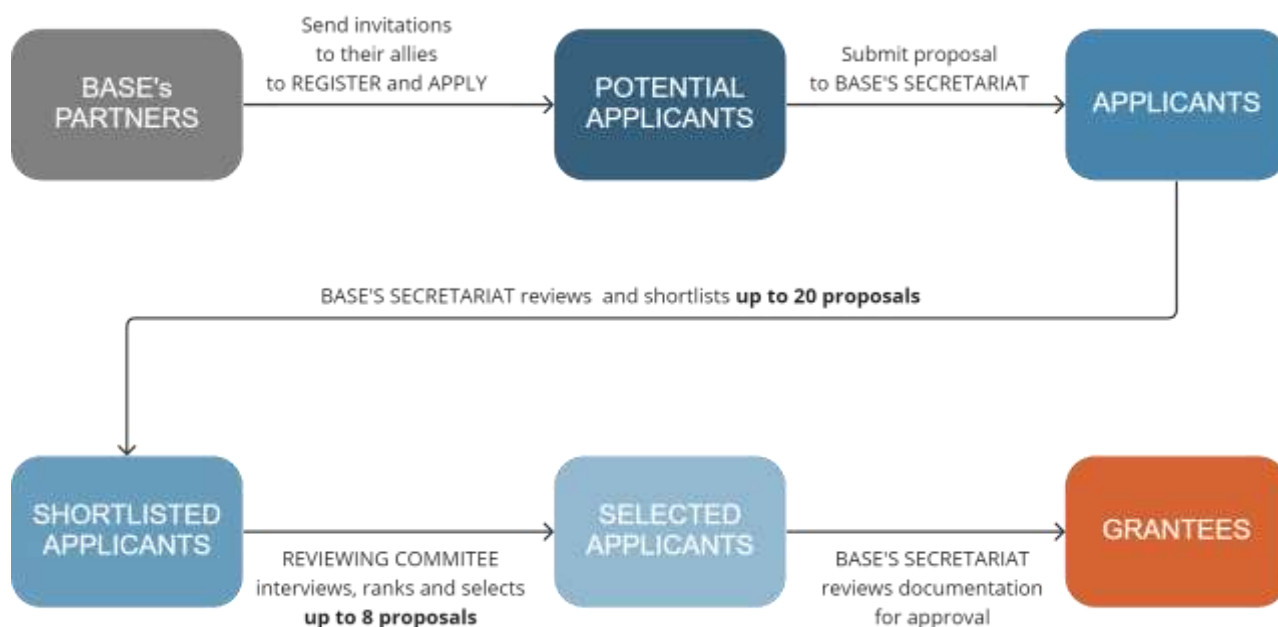
4.6. Researcher

Every applicant needs to have a RESEARCHER that will accompany the implementation of the project. The applicant can propose one or request BASE's support to appoint one. The REASERCHER will be hired in a later stage, once GRANTEES are defined. Roles and responsibilities are described in the ANNEX.

⁵ If a research institution is the grant recipient, they are able to nominate a member of their own staff as the researcher.

5. APPLICATION PROCESS

5.1. Simplified diagram



5.2. Stages

Stage I – Registration and submission

Date	Activity
February 28	Launch of calls
From February 28 to March 31	All interested applicants need to register in the link included in the invitation sent by BASE's partners, to download Guidelines, Application form and Toolkit. It is important that a valid email is provided, because future communications and updates will be sent to that account. These will become POTENTIAL APPLICANTS.
Until March 17	Proponents can send questions regarding the application form, process and guidelines to basecommunications@avina.net
March 23	Publication of responses to all questions will be sent to all POTENTIAL APPLICANTS.
Date and time to be defined and communicated to all POTENTIAL APPLICANTS	Information session 1 – French
	Information session 2 – English
	Information session 3 – Spanish
	Information session 4 –Portuguese

Until March 31 at 18h (UTC-3)	Applicants must complete the downloaded Application Form and submit it (with other documents listed in the Application Form, if applicable) to basecommunications@avina.net . Proposals can be submitted in the following languages: English, Portuguese, French or Spanish .
-------------------------------	--

Stage 2 - Evaluation, shortlist and disclosure of selected applicants:

Date	Activity
From April 3 to April 14	BASE's Secretariat will verify that the information submitted is complete, truthful and consistent with the purpose and guidelines of this call and review all the submitted proposals according to an evaluation criterion (included in the Annex). As a result, 20 proposals will be shortlisted. BASE is looking for a balance of proposals from different regions and different thematic areas. All SHORTLISTED proposals will be available for all BASE's partners to review. Applicants should consider that BASE's SECRETARIAT may request additional information or clarification of doubts to the submitted proposals.
April 17	Notification to the 20 SHORTLISTED APPLICANTS. The SHORTLISTED APPLICANTS will be awarded with a training with simultaneous translation in which they will learn pitching techniques and presentation of proposals to strengthen their communication abilities. At least one representative of each of the implementing organizations whose proposal was shortlisted is encouraged to participate in the workshop.
April 20	Workshop for SHORTLISTED APPLICANTS
April 25 and 26 Time will be defined and communicated to all SHORTLISTED applicants	Each SHORTLISTED APPLICANT will be invited to a 20-minute interview to share their proposals with a REVIEWING COMMITTEE. Each SHORTLISTED applicant may decide the participants at the presentation, as long as they are representative of any of the implementing organizations whose proposal was shortlisted. After the interviews, the REVIEWING COMMITTEE will evaluate collectively in a consensual manner, to rank the 20 proposals and select the 8 proposals to be funded. These will become the SELECTED APPLICANTS.
April 28	Notification of results to all SHORTLISTED APPLICANTS.

Stage 3 – Contract, funding and implementation

Date	Activity
April 28 (*)	BASE'S SECRETARIAT will request to the SELECTED APPLICANTS all the required information and documents needed prior to the start of the implementation.
Until May 12 (**)	SELECTED APPLICANTS will prepare the information and documentation and submit it to BASE'S SECRETARIAT. If all documentation complies with

	the requirements, SELECTED APPLICANTS become GRANTEES. SELECTED APPLICANTS will develop a work plan that will be presented and agreed upon with BASE's SECRETARIAT
From May 12 until May 19 (*)	AVINA AMERICAS will review all the required documentations. BASE's SECRETARIAT will review the work plan.
From May 22 until June 16 (*)	Signature of grant agreement and first disbursement Start of implementation period.
Until May 2024	Implementation period.

(*) BASE'S SECRETARIAT will be available to provide support to SELECTED APPLICANTS in the preparation of the requested documents. If this kind of support is requested, the timeframe to present the required documentation may extend until June 2 at the latest. This implies that the implementation period will be, therefore, shortened. Considering the case a SELECTED APPLICANTS submits the documentation on June 2, BASE'S SECRETARIAT will review it until June 9.

(**) If the required documentation cannot be provided by the SELECTED APPLICANTS in a timely manner, BASE's SECRETARIAT might disqualify the SELECTED APPLICANTS. If that should be the case, the next SHORTLISTED applicant in line will become the SELECTED APPLICANT and will have to provide the required documentation.

ANNEX

I. DEFINITIONS

APPLICANT: consortium, formed of 2 to 5 implementing organizations, that apply to the BASE's first call of grants.

APPLICATION FORM: document that must be completed by the applicant to be eligible for this grant.

BASE'S SECRETARIAT: BASE's partner who leads overall operations, held by Fundación Avina during the implementation period of the grants.

CLIMATE RATIONALE: There are many definitions and ways to understand this concept. In this spectrum, there are more science-based definitions such as that of WMO (see above in section 1.1). At BASE we use a definition, still under construction, that is "explanation that ensures that proposals combine an adaptation or mitigation approach with a local development perspective".

CLIMATE SOLUTION: action that responds to a problem observed in a region that necessarily has a climate impact. For example, deforestation generates greenhouse gas emissions; climate change alters the rainfall regime causing abnormal droughts or floods; or the decrease in productivity of a certain species that gives economic return because of the increase in the dry season. The climate solution is the way in which one seeks to mitigate or adapt to these climate problems.

EXPOSURE⁶: The presence of people; livelihoods; species or ecosystems; environmental functions, services, and resources; infrastructure; or economic, social, or cultural assets in places and settings that could be adversely affected.

GRANTEE: SELECTED APPLICANT that, after the approval of all the submitted information by BASE'S SECRETARIAT AND AVINA AMERICAS, is awarded with the grant described in this document.

HAZARD⁷: The potential occurrence of a natural or human-induced physical event or trend that may cause loss of life, injury or other health impacts, as well as damage and loss to property, infrastructure, livelihoods, service provision, ecosystems and environmental resources.

IMPACTS⁸: The consequences of realized risks on natural and human systems, where risks result from the interactions of climate-related hazards (including extreme weather/climate events), exposure, and vulnerability. Impacts generally refer to effects on lives, livelihoods, health and well-being, ecosystems and species, economic, social and cultural assets, services (including ecosystem services) and infrastructure. Impacts may be referred to as consequences or outcomes and can be adverse or beneficial.

IMPLEMENTING ORGANIZATION: each of the organizations that will have a role in the implementation of the activities proposed in the project that applies to this call.

LEAD ORGANIZATION: organization that will sign the grant agreement with AVINA AMERICAS and will be responsible for the execution of the budget and all the other responsibilities described in section 4.4.

PARTNER ORGANIZATION: implementing organization that is part of the consortium but does not act as a lead organization.

⁶ IPCC, 2022: Annex II: Glossary [Möller, V., R. van Diemen, J.B.R. Matthews, C. Méndez, S. Semenov, J.S. Fuglestedt, A. Reisinger (eds.)]. In: Climate Change 2022: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Sixth Assessment Report of the Intergovernmental Panel on Climate Change [H.-O. Pörtner, D.C. Roberts, M. Tignor, E.S. Poloczanska, K. Mintenbeck, A. Alegría, M. Craig, S. Langsdorf, S. Löschke, V. Möller, A. Okem, B. Rama (eds.)]. Cambridge University Press, Cambridge, UK and New York, NY, USA, pp. 2897–2930, doi:10.1017/9781009325844.029.

⁷ Ibid

⁸ Ibid

RESEARCHER: individual designated by the GRANTEE or BASE'S SECRETARIAT to provide support in the refinement of the climate rationale of the project during its implementation. Other supported activities are listed in section 5.7.

REVIEWING COMMITTEE: group of individuals appointed by BASE'S SECRETARIAT to review the proposals and participate in the interviews of the SHORTLISTED APPLICANTS, and who will rank them in order to define the 8 SELECTED APPLICANT.

RISK⁹: The potential for adverse consequences for human or ecological systems, recognising the diversity of values and objectives associated with such systems. In the context of climate change, risks can arise from potential impacts of climate change as well as human responses to climate change. Relevant adverse consequences include those on lives, livelihoods, health and well-being, economic, social and cultural assets and investments, infrastructure, services (including ecosystem services), ecosystems and species. In the context of climate change impacts, risks result from dynamic interactions between climate-related hazards with the exposure and vulnerability of the affected human or ecological system to the hazards. Hazards, exposure and vulnerability may each be subject to uncertainty in terms of magnitude and likelihood of occurrence, and each may change over time and space due to socio-economic changes and human decision-making. In the context of climate change responses, risks result from the potential for such responses not achieving the intended objective(s), or from potential trade-offs with, or negative side-effects on, other societal objectives, such as the Sustainable Development Goals (SDGs). Risks can arise for example from uncertainty in the implementation, effectiveness or outcomes of climate policy, climate-related investments, technology development or adoption, and system transitions.

SELECTED APPLICANT: SHORTLISTED applicant whose proposal is selected in the final round of review by REVIEWING COMMITTEE. If the selected applicant provides all the required information by BASE'S SECRETARIAT AND AVINA AMERICAS, it becomes the GRANTEE.

SHORTLISTED APPLICANT: applicant whose proposal is selected in the first round of review by BASE'S SECRETARIAT according to the Evaluation criterion, described in the Annex of this document.

VULNERABILITY¹⁰: The propensity or predisposition to be adversely affected. Vulnerability encompasses a variety of concepts and elements, including sensitivity or susceptibility to harm and lack of capacity to cope and adapt.

II. Strategy for BASE's first call of grants

In this first call, an experimental approach will be used to test the application process and implementation of grants, considering the following assumptions:

1. Internationally accepted science has advanced to a point where IPCC reports describe climate hazards and impacts at a regional and sectoral level with enough detail to infer the challenges faced at the local level. Latest climate science available will be used to develop plausible narratives of how existing risks might change in the future (e.g., increase / decrease, or completely new risks arise) because of climate change. During implementation — if and when new information becomes available — grantees and researchers can also build on the IPCC projections as part of the learning process.
2. Trust and flexible relationships between funder and grantee are vital in the climate change field to ensure an adaptive climate rationale.
3. The way in which the climate rationale of proposals is explained during the application process can be greatly streamlined considering the information available at local level and adding more flexibility in the

⁹ Ibid

¹⁰ Ibid

way the information is shared by applicants. There is an opportunity to strengthen local information about climate change in the implementation period of projects.

III. Researcher – Roles and responsibilities

The RESEARCHER must, at least, comply with the following tasks:

- Lead the refinement of the climate rationale throughout the implementation period.
- Provide support to GRANTEES and BASE in the definition of the type of climate information that needs to be downscaled from the regional level and/or produced at the local level and in the development of climate projections, that help in the refinement of the climate rationale.
- Provide support to GRANTEES and BASE in the definition of indicators and collection of data to inform those indicators.
- Help in the identification of the potential capacity building needs of the GRANTEES.
- Participate in regularly calls with the GRANTEES and BASE to inform advances.
- Participate in periodic calls with the other RESEARCHERS.
- Build capacity of community for understanding risk and resilience
- Experience in carrying out participatory risk assessments would be valued.

The CV of the suggested RESEARCHER needs to be included in the application for BASE's revision.

BASE'S SECRETARIAT will secure a close communication with the GRANTEE and the RESEARCHER. This will also help BASE'S SECRETARIAT to understand and offer support to fulfill the potential capacity needs of the GRANTEES.

IV. Reviewing Committee

The Reviewing Committee will be formed by representatives of climate finance institutions; and/or experts in the subject matter presented from public or private organizations, and/or relevant organizations; and/or members of the academia or research institutions: and/or representatives of local communities.

Moreover, it should be noted that the decision of the REVIEWING COMMITTEE is final and cannot be appealed.

V. Communications

Additional information may be requested by email from POTENTIAL APPLICANTS that registered to basecommunications@avina.net. It is up to the participant to be attentive to his/her email.

The POTENTIAL APPLICANTS that registered authorizes the receipt of emails or other forms of electronic communication (newsletters, etc.) about this call and/or its selection process and/or about any other matter directly or indirectly related to this call and its selection process.

VI. Disbursements

The financial resources will be transferred to the GRANTEES in two stages, 50% of the total allocated once the collaboration agreement with AVINA AMERICAS has been signed. Once they demonstrate that 80% of first disbursement has been spent/compromised (a call will be organized with BASE'S SECRETARIAT to discuss about progress in the implementation and offering evidence agreed between BASE'S SECRETARIAT and the GRANTEE), the other 50% will be disbursed.

All payments are subject to delivery of technical and financial reports. Costs related to international transfer fees and local taxes shall be paid directly by the GRANTEE.

VII. Evaluation criterion

All applications will be evaluated against the following criteria. Notwithstanding, the definition of SELECTED APPLICANTS will be made considering the performance against this evaluation criteria and the interview with the Reviewing Committee.

Evaluation topic	Detail	Score
Clarity in the inference of climate rationality.	The extent to which the problem to be solved by the proposed solution was identified, diagnosed and well defined based on evidence and experience and the needs identified for the sector and the region will be evaluated.	30
Relevance and feasibility of implementation of the proposed solution (technical)	The following will be evaluated: a) that the proposed activities are relevant and feasible to achieve the proposed objectives; b) that the information provided is complete and clear.	15
Relevance and feasibility of implementation of the proposed solution (economic)	The budget presented is aligned and relevant to the activities proposed to ensure the achievement of the objectives set forth in the solution	10
Impact potential	The following will be evaluated: a) the characteristics of the benefited community; b) to what extent the solution addresses an urgent need that may have an impact on meeting basic needs; c) the proposed indicators; d) how the implementation of the activities contributes to generate positive transformations in the community at the environmental, social and economic levels	15
Partnerships and multi-stakeholder involvement	The existence and proposed generation of alliances with key actors for the implementation of activities will be valued. The participation of local communities in the co-construction of solutions will be evaluated. The recognition and valuation of diverse knowledge so that the academic and experiential experience coexist and provide feedback to each other.	10
Sustainability	The following will be evaluated: a) clarity in the explanation of future actions and/or needs identified to continue the solution once the implementation of the grant offered by BASE is completed; b) the feasibility of the solution to be maintained under a scenario in which no other short-term funding is obtained.	10
Gender and diversity considerations	Evaluate how the proposal includes women and diversities in the implementation of the proposal and designs the activities with a gender perspective.	10

VIII. Legal and administrative considerations

The lead organization will have a contractual relationship with the AVINA AMERICAS, and this entity will be responsible for allocating the economic resources assigned to implement the activities included in the proposal. The lead organization is obliged to review the project progress of its consortium partners over the entire project duration.

The focal point of the lead organization will be the appointed person to answer any information requested by BASE'S SECRETARIAT and update on the project's implementation regularly.

Some of the responsibilities acquired by the contractual relationship are:

Maintain the confidentiality of all private information that may be obtained in the course of the contractual relationship, unless prior written consent is given. Will not disclose this information in any form to third parties, nor will use this information to gain personal benefits.

Make mutual reference in publications or other communications related to the grant's activities, including use of photographs, videos, films and any other means of expression in which either of them and/or its representatives, beneficiaries and other participants in its initiatives may appear, as far as those publications and communications are related to project.

Notify AVINA AMERICAS immediately, in writing, of any change related to the grant and it's activities, staff or consultants responsible for implementing the project. Is included any developments that may materially impair or impact the objectives, progress, or schedule of the project.

Be aware of the conditions to terminate the contractual relationship, which are:

- a) If the lead organization uses part or all of the funds received from AVINA AMERICAS for any purpose other than that pursued by the grant.
- b) If the lead organization fails to perform any of its obligations under the contractual relationship.
- c) If doing so is necessary to preserve the good name, tax-exempt status, or reputation of AVINA AMERICAS.